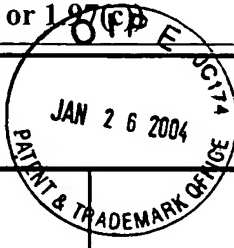


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
OKI.586

In Re Application Of: Tae YAMANE et al.



Serial No.  
10/681,283

Filing Date  
October 9, 2003

Examiner  
TBA

Group Art Unit  
2811

Title: SEMICONDUCTOR DEVICE AND FABRICATION METHOD OF THE SAME

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
OKI.586

In Re Application: Tae YAMANE et al.

Serial No.  
10/681,283Filing Date  
October 9, 2003Examiner  
TBAGroup Art Unit  
2811**SEMICONDUCTOR DEVICE AND FABRICATION METHOD OF THE SAME****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: January 26, 2004

ADAM C. VOLENTINE  
REG. NO. 33,289

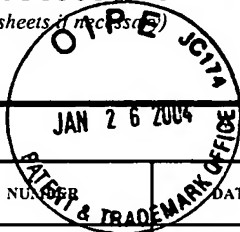
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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

OKI.586

Application Number

10/681,283

Applicant(s)

Tae YAMANE et al.

Filing Date

Oct ber 9, 2003

Group Art Unit

2811

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	6,348,728	02/19/2002	AIBA et al.			
	B	6,400,021	06/04/2002	CHO			
	C	2001/0038151 A1	11/08/2001	TAKAHASHI et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	D	2002064163 A	02/28/2002	JAPAN			Abstract	
	E	2001144197 A	05/25/2001	JAPAN			Abstract	
	F	2002110898 A	04/12/2002	JAPAN			Abstract	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.